

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date: 10-3-06 Name: Richard G. Lione, Reg. No. 19,795 Signature: [Signature]

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor: Tomomichi Hasegimoto et al.

Appln. No.: 10/551,944

**BRINKS  
HOFFER  
GILSON  
& LIONE**

Filed: October 4, 2005

For: POLYORGANOSILOXANE-CONTAINING  
GRAFT COPOLYMER, RESIN  
COMPOSITIONS CONTAINING THE  
SAME AND PROCESS FOR  
PRODUCTION OF  
POLYORGANOSILOXANE EMULSIONS

Examiner: Not Assigned

Art Unit: Not Assigned

Attorney Docket No: 5404/121

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL**

Sir:

**Attached is/are:**

- ☒ Transmittal (in Duplicate); Response to Notification of Missing Requirements (in Duplicate); Copy of Notification of Missing Requirements; Combined Declaration and Power of Attorney; and Check for \$130.
- ☒ Return Receipt Postcard

**Fee calculation:**

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

**Fee payment:**

- ☒ Check(s) in the amount of \$130 are enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

10-3-06  
Date

Respectfully submitted,

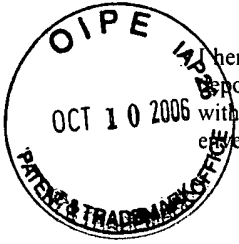
[Signature]  
Richard G. Lione (Reg. No. 19,795)

10/16/2006 GFREY1 00000153 10551944

01 FC:1617

130.00 OP





I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

on 10-3-06  
Date of Deposit

Richard G. Lione, Reg. No. 19,795

Name of applicant, assignee or  
Registered Representative

Richard G. Lione  
Signature

10-3-06  
Date of Signature

Case No. 5404/121

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Tomomichi Hasgimoto et  
al.

Serial No.: 10/551,944

Filed: October 4, 2005

For: POLYORGANOSILOXANE-  
CONTAINING GRAFT COPOLYMER,  
RESIN COMPOSITIONS  
CONTAINING THE SAME AND  
PROCESS FOR PRODUCTION OF  
POLYORGANOSILOXANE  
EMULSIONS

Attention: Manager,  
Application Branch

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attn.:

Dear Sir:

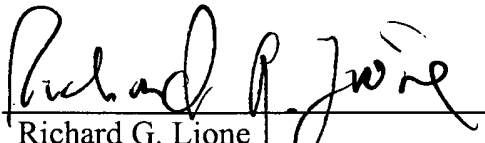
In accordance with the Notification of Missing Requirements of Application Filing Date Granted dated August 8, 2006, a copy of which is attached, enclosed herewith for filing are the following documents:



- ☒ Fully executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.
- ☒ Applicant is:
- ☐ a small entity, verified statement is:
- ☐ attached
- ☐ already filed
- ☒ other than small entity
- ☒ Checks totaling \$130 for:
- ☐ Filing Fee of \$\_\_\_\_.
- ☒ Surcharge of \$130.
- ☐ Additional Claim Fees of \$\_\_\_\_.
- ☐ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- ☐ Other: \_\_\_\_\_.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: 10-03-06

  
Richard G. Lione  
Registration No. 19,795  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610  
(312)321-4200





## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/551,944	Tomomichi Hasgimoto	5404/121

INTERNATIONAL APPLICATION NO.

PCT/JP04/05111

I.A. FILING DATE

PRIORITY DATE

04/09/2004

04/11/2003

757

BRINKS HOFER GILSON & LIONE,  
 P.O. BOX 10395  
 CHICAGO, IL 60610

CONFIRMATION NO. 3573

371 FORMALITIES LETTER



\*OC000000019921248\*

Date Mailed: 08/08/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 10/04/2005
- Copy of the International Search Report filed on 10/04/2005
- Preliminary Amendments filed on 10/04/2005
- Information Disclosure Statements filed on 10/04/2005
- Request for Immediate Examination filed on 10/04/2005
- U.S. Basic National Fees filed on 10/04/2005
- Priority Documents filed on 10/04/2005
- Non-English Language Application filed on 10/04/2005
- Specification filed on 10/04/2005
- Claims filed on 10/04/2005
- Abstracts filed on 10/04/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:



Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PAULETTE R KIDWELL

Telephone: (703) 308-9140 EXT 216

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/551,944	PCT/JP04/05111	5404/121

FORM PCT/DO/EO/905 (371 Formalities Notice)